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| PTOURING 06.20  Approved for use through 97212006. ONB 0818 1002  Substantial Pagement Residuo Act of 1995, so persons are required to reported to a collisation of information unitess displays a value OMB centred number  TRANSMITTAL  (Only for new nonprovisional applications under 37 CFR 1.53(a))  TRANSMITTAL  (Only for new nonprovisional applications under 37 CFR 1.53(a))  APPLICATION ELEMENTS  See MFEP Chapter 600 concerning utility patient application contents.  APPLICATION ELEMENTS  See MFEP Chapter 600 concerning utility patient application contents.  APPLICATION ELEMENTS  See MFEP Chapter 600 concerning utility patient application contents.  APPLICATION ELEMENTS  See MFEP Chapter 600 concerning utility patient application contents.  1. Fee Transmittal Form (e.g., PTOLSBI17)  (Quidernal original and a subjected for fee processing)  2. Globular an original and a subjected for fee processing)  3. C. Specification sequence Submission  - Cost Reference to Related Applications  - Cost Reference to Related Applications  - Statement Regarding Feel spootwood R D  - Copy from a prior application (37 CFR 1.53(d))  D Copy from a prior application (37 CFR 1.53(d))  Total Sheets  - Computer Program (Appared)  - Cost Reference to Relation Application (37 CFR 1.53(d))  - Cost Reference to Relation appendix  - Background of the Inverted Applications  - Statement Regarding Feel spootwood R D  - Copy from a prior application (37 CFR 1.53(d))  - Cost Reference to Relation appendix  - Background of the Inverted Application (37 CFR 1.53(d))  - Cost Reference to Relation appendix application (37 CFR 1.53(d))  - Cost Reference to Relation appendix application (37 CFR 1.53(d))  - Cost Reference to Relation appendix application (37 CFR 1.53(d))  - Cost Reference to Relation application (37 CFR 1.53(d))  - Cost Reference to Relation application (37 CFR 1.53(d))  - Cost Reference to Relation application (37 CFR 1.53(d))  - Cost Reference to Relation application (38 CFR 1.76)  - Cost Reference to Relation application (38 CFR 1.76)  - | nited States  | Pimentel, hereby certify that this correspondence is being<br>s Postal Service as Express Mail in an envelope addresse   | to: "Commissioner  |  |                           |                             |  |  |
|--|---|--|--|--|---------------------------|-----------------------------|--|--|
| Under the Pagement Reduction Act of 1995, no persons are required to recorded to a collection of information undersit displays a valid CMB control number.    Control   Control  | → Patents, F  | 2.0.m/, 20   |  | roved for use through  | PTO/SI<br>07/31/2006, OME | B/05 (08-03)<br>3 0651-0032 |  |  |
| PATENT APPLICATION TRANSMITTAL  (Conty for new nonprovisional applications under 37 CFR 1.53(a))  See MPEP chapter 600 concerning utility patent application contents.  APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  ADDRESS TO:  Mall Stop Patent Application Commendation of CPR 1 deplication Commendation of CPR 1 deplication ADDRESS TO:  Mall Stop Patent Application Commendation of CPR 1 deplication Commendation of CPR 1 deplication ADDRESS TO:  ADDRESS TO:  Commendation of CPR 1 deplication Computer Program (Appendix) See 37 CFR 1.23  Deplicant claims small entity status. See 37 CFR 1.23  Deplication of the invention Set Statement Regarding Fed sponsored ft & D Reference to sequence lating a bately. Statement Regarding Fed sponsored ft & D Reference to sequence lating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence lating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence lating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence lating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference to sequence stating, a bately. Statement Regarding Fed sponsored ft & D Reference stating ft & Statement Regarding ft and the sequence stating for the s | Under the   |  | U.S. Patent and Trade  | mark Office, U.S. DEF  | PARTMENT OF C             | OMMERCE                     |  |  |
| TRANSMITTAL    Title   |   | UTILITY  | Attorney Docket No.  | 02-508   |                           |                             |  |  |
| Express Mail Label No.   EV 335533065 US   |   | PATENT APPLICATION   | First Inventor Sunil G. Warrier et al.   |  |                           |                             |  |  |
| APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patient application contents.  ADDRESS TO: Communicationer for Patients  See MPEP chapter 600 concerning utility patient application contents.  1. Feat Transmittal Form (e.g., PTO(5611) Applicant claims amail entity status.  See 37 CFR 1.27  Applicant claims amail entity status.  See 37 CFR 1.27  Specification (Total Pages 19 1   preferred arrangement set forth below) Interference to sequence listing, a labels.  Statement Regarding Fed sponsered R & D. Reference to sequence listing, a labels.  Background of the Invention Bert Description of the Demonstration Products Bert Description Products Bert Description Products Bert Demonstration Products Bert Description Prod |   | TRANSMITTAL  | Title  | COMPLIANT, STRAIN TOLERANT INTERCONNECTS FOR SOLID OXIDE FUEL CELL STACK . |                           |                             |  |  |
| APPLICATION ELEMENTS  See MFSP chapter 600 concening utility patient application contents.  ADDRESS TO: Commissioner for Patients: PC. Bot 1450.  Commission | (Only for   | r new nonprovisional applications under 37 CFR 1.53(b))  | Express Mail Label No.   | EV 335533065 US  | 3                         |                             |  |  |
| Computer Program (Appendis)   Computer Program (Appendis)   Computer Readable Form (CRF)      | See MPEF  |  | ADDRESS TO:  | Commissioner for P<br>P.O. Box 1450  | atents                    |                             |  |  |
| 5. Oath of Declaration a.  | Computer Program (Appendix)   |  |  |  |                           |                             |  |  |
| specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) Of prior application No.: 10/307,008   | a.  | lewly executed (original or copy)  sopy from a prior application (37 CFR 1.63(d)) for continuation/divisional with Box 18 completed)  DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |  |                           |                             |  |  |
| Prior application information: Examiner Art Unit:  For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS    Customer Number: 34,704   OR   Correspondence address below   | specification following the title, or in an Application Data Sheet under 37 CFR 1.76: |  |  |  |                           |                             |  |  |
| Name  Address  City  Country  State  Telephone  Fax  Name (Print/Type) George A. Coury  Registration No. (Attomey/Agent) 34,309  | Prior application For CONTINUA 5b, is consider  | n information:  ATION OF DIVISIONAL APPS only; The entire disclosure of the disclosure of the accompanying continuation can only be relied upon when a portion has been inadver  | Art Un- e prior application, from whice or divisional application and tently omitted from the subm   | it:<br>h an oath or declara<br>l is hereby incorpora                       | tion is supplied          |                             |  |  |
| Address  City  Country  State  Telephone  Registration No. (Attomey/Agent)  Signature  Signature   | Custo   | omer Number: 34,704  | OR C   | Correspondence   | ce address belo           | ow                          |  |  |
| City State Zip Code  Country Telephone Fax  Name (Print/Type) George A. Coury Registration No. (Attomey/Agent) 34,309  Signature   | Name  |  |  |  |                           |                             |  |  |
| Country    Telephone   Fax     Name (Print/Type)   George A. Coury   Registration No. (Attomey/Agent)   34,309     Signature   Only   O | Address   |  |  |  |                           |                             |  |  |
| Name (Print/Type) George A. Coury Registration No. (Attomey/Agent) 34,309  | City  |  | State  | Zip C  | Code                      |                             |  |  |
| Signature  | Country   | 170  | elephone   | Fé   | ЭX                        |                             |  |  |
| Signature Date 01.16.2004  | 55.95.11 55.11  |  |  |  |                           |                             |  |  |
| This collection of information is required by 37 CFR/1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the   |   | of information is required by 37 CPS 4 53(h) The information is  | required to obtain or rotain a   |  | 01-16-2004                | (and by the                 |  |  |

This collection of information is required by 37 CPR/1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.O. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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| States Posta  | Pimentel, hereby certify that this correspond<br>Il Service as Express Mail in an envelope at<br>50, Alexandria, (A 22313" on January 16,<br>10, 10, 10, 10, 10, 10, 10, 10, 10, 10, | ddressed to:<br>2004.<br>EXPRESS M | "Com           | missic<br>O.: EV                             | ner for<br>335533<br>U.S. Pa | Patent<br>3065 U<br>atent ar | s,<br>JS A<br>nd Tra | opproved for use through 07/31/2006. ON demark Office; U.S. DEPARTMENT OF | COMMERCE    |
| FEE TOANSMITTAL   |  |                                    |                | Compl te if Known                            |                              |                              |                      |   |             |
| ਰ FEE TRANSMITTAL   |  |                                    | -  ∟           | Application Number                           |                              |                              |                      |   |             |
| for FY 2004   |  |                                    | L              | Filing Date                                  |                              |                              |                      |   |             |
| Effective 10/01/2003. Patent fees are subject to annual revision.   |  |                                    | L              | First Named Inventor Sunil G. Warrier et al. |                              | Sunil G. Warrier et al.      |                      |   |             |
| Applicar  | nt claims small entity status. See 37 CFF  | R 1 27                             | ╗              | Exam   | iner Na                      | ame                          |                      |   |             |
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| Charge fee(s) indicated below Credit any overpayments  Charge any additional fee(s) or any underpayment of fee(s) |  |                                    | 1804           | 920*   | 1804                         | 920*                         | Reque                | esting publication of SIR prior to  | 4           |
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| 1   | 2001 385 Utility filing fee  2002 170 Design filing fee  | 0.00                               | 1401           | 330  | 2401                         |                              |                      | e of Appeal   |             |
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| Independent<br>Claims   |  |                                    | 1460           | 130  | 1460                         |                              |                      | ons to the Commissioner   |             |
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| Large Entity  <br>Fee Fee   | Small Entity Fee Fee Fee Description   | 1                                  | 1806           | 180  | 1806                         |                              |                      | ission of Information Disclosure Stmt                                     |             |
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| SUBMITTED BY      |  | 1        |                            | (Complete (if applicable)) |     |
| Name (Print/Type) | George A. Coury                                      |          | Registration No. 34,3      | Telephone 203-777-6        | 628 |

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385 Filing a submission after final rejection (37 CFR 1.129(a))

385 Request for Continued Examination (RCE)

Date

January 16, 2004

385 For each additional invention to be

examined (37 CFR 1.129(b))

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Independent claims in excess of 3

\*\* Reissue independent claims over original patent

Multiple dependent claim, if not paid

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